

Governance & Audit Committee Matters Arising Schedule

Purpose:

To consider progress on the matters arising from previous Governance & Audit Committee meetings.

Recommendation: That members note progress on the matters arising and request corrective action if necessary.

Matters arising Schedule

Meeting	Governance and Audit Committee				
Status	Title	Action Required	Comments	Due Date	Allocated To
Black	Concerns over pension liability	during the SOA debate on 29/11/22. Members raised some points of concern and had questions which the Chairman suggested be brought to the attention of the Councils Member Rep on the LCC Pensions Committee	Summary of the relevant debate and link to webcast to be shared /brought to the attention of Councillor Bob Waller on behalf on the G and A Cttee for him raise at next pension cttee meeting. Update 24 February - Draft letter approved to be sent to Cllr Waller.	31/01/23	Andrew Warnes
Black	Query about Contract Management in Internal Audit Quarter 3 Report 2022/23	Minute taken from Committee Meeting on 24 January 2023: "Debate ensued on the contents of the report. A Member questioned the contract management assurance, enquiring about the statement, on page 48, that there was evidence of engagement with contractors to monitor performance at the intervals specified and whether there was an any assessment on the quality of that engagement. The Principal Auditor confirmed his belief that it solely related to discussions on the contract performance but would confirm his	See Action required - Democratic and Civic Officer to follow up with Principal Auditor from Internal Audit for answer. Update (14 February 2023) - Answer from Principal Auditor follows:- "Performance management is part of contract management meetings. However we did find that KPIs were not always aligned to service failure areas and we also found that action point responsibility wasn't assigned to staff in the notes. We have recommended that both of these areas are reviewed and rectified as part of our recommendations, and this	06/03/23	Andrew Warnes

		understanding to Members outside of the meeting."	has been agreed. We will track this to confirm that it is in place."		
Black	Question relating to changes to capital expenditure difference/delay	At the Governance and Audit Committee on 24 January 2023, Member raised queries about the prudential indicators for the capital expenditure, and the appearance to the large difference between the original and revised estimate, particularly in respect of "Our People" and "Our Places". Members sought indication as to the reasons for this and whether this should be cause for concern. In responding, the Section 151 Officer advised Members that in respect of "Our Place" this was due to delays and delivery on the LUF programme, but undertook to provide greater detail to all Members outside of the meeting in coming weeks.	<p>All Members were directed (email on 17 Feb) to the Q3 budget monitoring report considered by the Corporate Policy and Resources Committee, at its meeting on 9 February, where performance against Capital Budgets is reported and explained.</p> <p>Both the Executive Summary and Section 3 of the report refers.</p> <p>The report can be viewed here:</p> <p>Q3 Budget Monitoring Report</p>	17/02/23	Emma Foy
Black	Purple lidded bin rollout costs in SOA	Officers to ascertain particulars of the costs listed - re purple lidded bin rollout as it had been believed these were been covered at County level	Please provide information as requested.	16/01/23	Emma Foy
Green	West Lindsey District Council owned companies - meeting their objectives	Arising from the mtg on 29/11 having noted where the Business Plans and accounts for subsidiary companies were reported to, the cttee wanted to ensure there was a route by which the subsidiary companies were reviewed to ensure they still met their objectives were fit for purpose etc, served a need, were still the appropriate mechanism and that there was transparency around that process. It was suggested inclusion in the IA Annual Plan should be considered	See action required.	30/06/23	Emma Foy

Green	Request to include Whistleblowing Service into 2023/24 Internal Audit Plan	taken from draft minutes of 29/11/22 Committee Meeting: in response to comments expressed during the debate the Chairman suggested that having some assurance on the Value for Money aspect of the Wb service would be useful and suggested this be considered in next year's audit plan. or via a separate small piece of work	See action required.	18/04/23	Emma Foy
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